Name: Devika Kewalramani Email: <u>devika.kewalramani@fisherbroyles.com</u> Direct: +1.917.822.0716 Office: New York

Practice Areas: Cyber-Risk; Legal Ethics and Professional Liability; Litigation & Risk Management;
Professional & Management Liability
Bar Admissions: New York; England & Wales, Registered Foreign Lawyer
Education: CUNY School of Law, Juris Doctor, 1995; St. Xavier's College, Bachelor of Arts, 1988
Experience: Moses & Singer LLP; Kelley Drye & Warren LLP; Mendes & Mount LLP

Devika Kewalramani advises law firms, lawyers and legal departments on legal ethics, professional responsibility, risk management, lawyer licensing and admissions matters. She conducts ethics and risk management audits for law firm clients. A frequent lecturer, panelist and author on legal ethics, Devika speaks to law firms, corporate legal departments, bar associations, law schools and professional groups on a variety of legal ethics matters. She has served as a faculty member of the Practising Law Institute and of Lawline.com. Devika is often quoted and interviewed in legal publications and other media regarding legal ethics and professional responsibility issues.

Devika serves as a member of the Attorney Grievance Committee of the New York State Supreme Court, Appellate Division, First Judicial Department. She is a member of the New York State Bar Association's Committee on Standards of Attorney Conduct (COSAC). Devika is an immediate past Vice President of the New York City Bar Association, and previously chaired its Board of Directors, its Committee on Professional Discipline, and co-chaired its Council on the Profession. She served as a member of the New York State Bar Association's Restarting the Economy Work Group in 2020 which worked to develop guidance for re-opening law firms in New York State. Devika was appointed a member of the New York Commission on Statewide Attorney Discipline and served as co-chair of its Subcommittee on Transparency and Access.

From 2014-2023, Devika achieved Super Lawyer status in the Metro Edition of New York Super Lawyers®.

In May 2024, Devika was a 2024 Burton Awards recipient of the "Law360 Distinguished Legal Writing Awards," held in association with the Library of Congress, presented by lead sponsor Law360 and co-sponsored by the American Bar Association.

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#### **Bar Association Memberships and Professional Affiliations**

- Member, Attorney Grievance Committee, New York State Supreme Court, Appellate Division, First Department
- Member, NYSBA Committee on Standards of Attorney Conduct (COSAC)
- Member, Advisory Board of the Ethics Institute, New York County Lawyers Association
- Member, International Board of Advisors, Jindal Global Law School, India
- Member, Editorial Board of The Practical Lawyer, published by ALI CLE
- Past Vice President, New York City Bar Association
- Past Chair, Board of Directors, New York City Bar Association; Former Secretary of the Board of Directors
- Past Co-Chair, Council on the Profession, New York City Bar Association
- Past Chair, Committee on Professional Discipline, New York City Bar Association
- Past Chair, Subcommittee on Engagement Letters, Professional Responsibility Committee, New York City Bar Association
- Past Co-Chair, South Asian Bar Association of New York Private Sector and In-House Committee (non-steering)
- Past Member, New York Commission on Statewide Attorney Discipline, Co-Chair, Subcommittee on Transparency and Access
- Past member, Restarting the Economy Working Group, New York State Bar Association
- Past Member, Foundation Board of The City University of New York School of Law
- Past Member, Committee on Professional Ethics, New York City Bar Association
- Past Member, Committee on Continuing Legal Education, New York State Bar Association
- Past Member, Committee on Professional Ethics, New York State Bar Association

#### **Representative Matters**

- Acted as outside ethics and professional responsibility counsel for firms.
- Counseled lawyers on professional discipline, licensing and admissions matters.
- Defended lawyers in disciplinary investigations alleging professional misconduct.
- Represented firms and lawyers on ethics matters relating to partner disputes, departures, mergers and break-ups.
- Advised firms and lawyers on handling escrow accounts and developed best practices.
- Counseled attorneys on attorney-client privilege issues.
- Advised law firms on conflicts of interest issues.

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- Counseled attorneys on fee-sharing arrangements with other lawyers.
- Represented lawyers in matters initiated by the Lawyers Fund for Client Protection.
- Counseled firms on ethics matters relating to lawyer-nonlawyer business structures.
- Advised start-up firms and winding-down firms on ethics, professional responsibility and risk management.
- Acted as ethics counsel for international and multi-state firms opening New York offices.
- Represented firms as targets of internal investigations by clients.
- Advised in-house legal departments regarding ethics and professional responsibility matters.
- Counseled firms on ethical risks of evolving technology in law practice.
- Counseled firms on attorney advertising rules relating to firm websites and promotional materials.
- Conducted ethics audits for firms and developed law firm ethics manuals.

#### **Presentations & Teaching Experience**

- Artificial Intelligence and Legal Ethics, Speaker, Practising Law Institute's Outsourcing 2024
   Program, October 2024
- General Counsel Quiz Show, Host, FisherBroyles Annual Partner Retreat, September 2024
- Common Ethical Landmines to Avoid as a New Lawyer, Guest Lecturer, Cornell Law School, March 2024
- Confronting Key Ethical and Cybersecurity Challenges in 2024, Panelist, New York City Bar Association, February 2024
- Ethics for the Open Source Practitioner, Speaker, Practising Law Institute's The Law & Business of Open Source Software 2024 program, February 2024
- Annual Speed Mock Interview Night, CUNY School of Law, Interviewer, February 2024
- Challenges of Managing a Globally Disparate Team, Guest Speaker, GC Breakfast Series, Organized by CM Murray LLP, December 2023
- Ethical Issues in Negotiating Sourcing Deals Hypotheticals, speaker, Practising Law Institute's Outsourcing 2023: Fundamentals, Key Issues, and Best Practices, October 2023
- Law Firm General Counsels' Forum, panelist, 2023 AON Law Firm Symposium, October 2023
- College Athletes Netting Income Raises Ethical Hurdles for Lawyers, Speaker, Perrin Conferences, September 2023
- Raising the Curtain: Risks of Playing Multiple Roles on the Ethical Stage, Speaker, Celesq, West LegalEdcenter, Thomson Reuters Webinar, June 2023
- Ethics & Cyber Security: How to Avoid Data Breaches, Losses & Hacks, Speaker, Moses Singer CLE Program, May 2023

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- Ethics for the Open Source Practitioner, Speaker, Practising Law Institute's The Law and Business of Open Source Software 2023 Program, February 2023
- Ethics in Negotiating in Sports, Entertainment and Other Universes, organized by Entertainment, Arts & Sports Law Section, New York State Bar Association Annual Meeting, January 2023
- Ethics for Breakfast Ethical Considerations of the Attorney-Client Privilege, 19th Annual Trusts & Estates Conference, Professional Advisors Council of the Calvary Fund of Calvary Hospital, December 2022
- Managing Your Firm's Professional and Cyber Risks in a Hybrid World, New York City Bar 19th Annual 2022 Small Law Firm Symposium, Panelist, November 2022.
- Ethical Issues in Negotiating Sourcing Deals, Speaker, Practising Law Institute's Outsourcing 2022: Fundamentals, Key Issues, and Best Practices program, October 2022
- Discussion with Asian American Women Leaders on the New York City Bar Board, panelist, New York City Bar Association Virtual Webinar, May 2022
- M&S Riskwatch! Missed Conflict, Embarrassment and Worse, Speaker, Moses Singer program, March 2022
- Ethics for Small Firms and Solo Practitioners: Common Challenges & Careful Compliance, Speaker, Lawline, January 2022
- M&S Riskwatch! Conflicts Checking and Organizational Clients," Speaker, Moses Singer program, December 2021
- Tips on Avoiding Ethical Riptides in Your IP Practice, 45th Annual IP Institute: Shifting Tides Intellectual Property in a Changing World, organized by the California Lawyers Association, Intellectual Property Law Section, Speaker, November 2021
- Privilege Issues Affecting In-House Counsel That Outside Counsel Should Know About, Speaker, M&S CLE Program, September 2021
- "M&S Riskwatch! Importance of Conflicts Checking and Supplemental Checks," Speaker, Moses Singer program, September 2021
- Privilege Issues Affecting In-House Counsel," panelist, Tiffany's & Co.'s Legal Department, July 2021
- Pandemic Survival Toolkit for Lawyers: Lessons & Learnings in Ethics & Professionalism, Professional Development Workshop Series 2021, Panel Chair, New York City Bar, May 2021
- Law Re-imagined: Innovation & the Future of Legal Practice, panelist, organized by the Law School Admission Council (LSAC) – Global, April 2021
- How to Network Effectively in a Virtual Environment, Moderator, sponsored by the South Asian Bar Association of New York's Private Sector Committee, March 2021

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- Top Ten Ways to Minimize Risk While You Manage Your Growth, panelist, New York City Bar 17th Annual Small Law Firm Symposium, November 2020
- COVID-19's Ethical and Legal Practice Implications, panelist, New-York Historical Society's
   Planned Giving Advisory Council, October 2020
- Lawyers Under the Microscope: A Close Look at the Structure and Process of Attorney Discipline in New York, guest speaker, CUNY School of Law, August 2020
- Global Legal Practitioners' Colloquium on the Future of Litigation and Court Practice in India & U.S.A., Speaker, Jindal Global Law School Webinar, May 2020
- Staying Up-to-Date and Out-of-Trouble: The Mantra for Meeting Professional Challenges with Ethical Compliance, Panel Co-Chair, New York City Bar Professional Development Workshop Series 2020, May 2020
- Essential Ethics for Lockdown Lawyers, Speaker, Celesq, West LegalEdcenter, Thomson Reuters Webinar, May 2020
- "Ethics-in-Place for Sheltered Lawyers," Speaker, South Asian Bar Association of New York Webinar, April 2020
- "Staying Out of Trouble 2019: Hot Topics in Ethics and Avoiding Professional Discipline Conflicts Of Interest: Navigating those Sticky Situations", PLI, December 2019
- The Trusted Advisor in the Age of Artificial Intelligence, Speaker, Oppenheimer & Co., Inc. Seminar, October 2019
- "Hard Legal Ethics Questions: How to Resolve Them or, Even Better, Avoid Them," Panelist, New York City Bar Professional Development Workshop Series 2019, May 2019.
- "The Wheel of Fortune: Hot Topics in Ethics for Entertainment Lawyers," Entertainment, Arts and Sports Law Section (EASL), New York State Bar Association 2019 Annual Meeting, January 2019
- "Wire Fraud, BEC Attacks, Cybersecurity & Your Ethical Duties," Speaker, Moses Singer/TitleVest CLE, November 2018.
- "Ethics Inside/Out: In-House Counsel's Role As The Client's Lawyer And As Outside Counsel's Client," Speaker, CHUBB CLE Program, October 2018.
- "Ethical Obligations in Cyber Security: The Risks You Must Know, The Responsibilities You Cannot Avoid," Speaker, New York State Bar Association Elder Law and Special Needs Section Summer Meeting, July 2018.
- "Promoting & Protecting Our Law Firms: Demystifying ADA Web Accessibility Compliance and the New York Attorney Advertising Rules," Speaker, Legal Marketing Association NY Small Firm SIG Lunch Program, June 2018.
- "In-House Counsel Ethics: Strategic Roles, Complex Duties," Speaker, Mitsubishi Chemical Holdings America, Inc., April 2018.

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- "Practical Ethical Issues Facing Entertainment Attorneys," Speaker, New York City Bar Association, March 2018.
- "Cyber Ethics: Shielding Your Clients' Data," Speaker, Oppenheimer & Co. Inc., January 2018.
- "Law Firm Cyber Security: A Matter of Ethics", Speaker, Kaplan Fox & Kilsheimer LLP, December 2017.
- "Conflicts of Interest: Defining, Identifying, Preventing and Addressing," Speaker, LexisNexis CLE Webinar, November 2017.
- "Managing Ethical Challenges in Conflicts of Interest, Compliance and Risk Management," Speaker, Kobre & Kim LLP, October 2017.
- "Ethics & Cybersecurity: Duty to Protect Client Data," Speaker, New York American Inn of Court, September 2017.
- "Crossing the Line: When Ethics Problems Can Become Disciplinary Sanctions," Speaker, New York City Trusts & Estates Discussion Group, September 2017.
- "Cyber Ethics: Understanding Your Ethical Obligations in a Cybersecurity Breach," Speaker, American Bar Association, August 2017.
- "Construction Dispute Resolution: Strategic Approaches and Navigating Ethical Dilemmas," Speaker, Moses Singer/John E. Osborn P.C. CLE Program, June 2017.
- "Ethics in Real Estate Transactions," Speaker, Practising Law Institute Negotiating Real Estate Deals 2017, June 2017.
- "When Things Go Wrong, Don't Go With Them: What To Do If the Grievance Committee Comes Calling," Moderator, New York City Bar, May 2017.
- "Ethics & Professionalism: Best Practices for Attorneys 2017," Moderator, City Bar Center for CLE, March 2017.
- "Ethical Issues in Representing Real Estate Joint Ventures," Keynote Speaker, Strafford Publications Seminar, March 2017.
- "Unscrambling the Ethics Rules, the Thin Line Between Professional Conduct and Personal Behavior, and More," Guest Lecturer, CUNY School of Law, November 2016.
- "Addressing Conflicts of Interest: Essential Duties for Every Lawyer," Rheem Bell & Mermelstein LLP, November 2016.
- "Bagels, Lox and a Side of Ethics," Anti-Defamation League, November 2016.
- "Managing Ethical Challenges in Conflicts of Interest, Compliance and Risk Management," Thomson Reuters' Legal Executive Institute Risk, Ethics and Compliance Forum, November 2016.
- "Ethics & Cybersecurity: Duty to Protect Client Data," Regeneron Pharmaceuticals, Inc., October 2016.

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- "Out With the Old, In With the New: An Introduction to New York's Statewide Rules for Attorney Disciplinary Matters," Panel Chair, New York City Bar Bridge-the-Gap Program, September 2016.
- "Getting Admitted to the First or Second Departments," New York City Bar Association's New Lawyer Institute, September 2016
- "Bridge-the-Gap Orientation Program for Newly Admitted Attorneys," organized by New York State Supreme Court, Appellate Division, First Department's Character and Fitness Committee, held at the New York County Lawyer's Association, January 2017, July 2016, February and November 2015, September 2014
- "Legal Ethics for Real Estate Finance Lawyers," Practising Law Institute, Commercial Real Estate Financing 2016, April 2016
- "Ethical Issues for Mediators and Attorneys," New York State Bar Association Dispute Resolution Section Diversity Committee CLE Program, April 2016
- "Ethical Implications of a Cyber Breach at a Law Firm," St. John's University School of Law, Cyber Law Symposium, February 2016
- "Answers to Everyday Ethics Questions," New York City Bar Association, February 2016
- "Ethics and Professional Responsibility In The Indian Legal Profession," Jindal Global Law School, October 2015
- "Current Developments in Elder Law 2015: Ethical Implications in Considering Alternatives to Guardianship," New York City Bar Association, October 2015
- "Hot Button Issues in Employment Law: Ethical Issues, Social Media, and Beyond," New York County Lawyers' Association, October 2015
- "Attorney Discipline in New York: Structure, Process & Trends," New York City Bar Association, October 2015
- "Getting Admitted to the First and Second Departments," New York City Bar's New Lawyers Institute, September 2015 and October 2014
- "Setting Up and Maintaining Client Relationships to Avoid Ethical Dilemmas," New York State Bar Association, June 2015
- "Ethics & Professionalism: Best Practices for Attorneys 2015," New York City Bar Association, May 2015
- "Ethical Issues in FCPA Compliance & Investigations: Practical Advice from the Front Lines," Stein Center for Law and Ethics, Fordham Law School, May 2015
- "Negotiation Ethics: Pitfalls and Rules," Eleventh Annual Edith I. Spivack Symposium, New York State Bar Association, Committee on Women in the Law, May 2015

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- "Is It All Good? The Legal and Ethical Implications of Legalizing Marijuana in America," American Bar Association, Labor and Employment Law Section, Ethics and Professional Responsibility Committee, Midwinter Meeting 2015, March 2015
- "A Guided Tour of Special Ethics Problems Facing Trust & Estates Lawyers," American Friends of Israel Museum, November 2014
- "The Rules of Engagement: A Simulated Arbitration Ethics Hotline, Winning with ADR: Strategies to Successfully Leverage the Tools in the ADR Toolbox," New York State Bar Association's Dispute Resolution Section Fall Meeting, October 2014
- "Ethical Issues Facing the Trust & Estates Attorney," New York City Trusts & Estates Discussion Group, October 2014
- "Introduction to New York's Rules & Professional Conduct and the System of Lawyer Discipline," Jindal Global Law School, August 2014.
- "Attorney Discipline in New York: Structure, Process and Trends, 16-Hour Bridge-The-Gap: Practical Skills, Ethics & More," New York City Bar Association, July 2014
- "Answers to Everyday Ethical Questions," New York City Bar Association, June 2014
- "Top Five Ethical Challenges for Lawyers," Constantine Cannon LLP, June 2014
- "Law Firm Ethics: Key Issues and Practice Tips," Barton LLP, May 2014
- "Attorney Disciplinary Process in New York: How It Works," Moses Singer, April 2014
- "Professionalism," CUNY School of Law, April 2014
- "Ethical Clicks to Avoid Technology Risks in Your Practice," South Asian Women Attorneys Network, April 2014
- "Ethics for In-House Counsel: New Developments and Future Challenges," Fordham Law School, Stein Center for Law and Ethics, March 2014
- "Ethics Issues in Legal Process Outsourcing and Cloud Computing," UBS Legal Department, January 2014
- "Protecting Confidentiality, Reducing Risk and Avoiding Liability," hosted by Augustine Conroy & Schoppmann at their Trusted Advisors Networking Event, January 2014
- "Ethical Issues Facing Real Estate Attorneys," Practising Law Institute, Annual Commercial Real Estate Institute, 2008-2013
- "The Disciplinary Process: How it Works," New York City Bar Association, July 2013
- "Cyber Security & Ethics: Risks & Best Practices for Law Firms," Moses Singer CLE Program, June 2013
- "Ethical Arrhythmias in Trusts & Estates Practice", American Heart Association's, 13th Annual Invitational Conference on Estate Planning and Administration, April 2013

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- "Ethics for Corporate Lawyers: Multijurisdictional Practice And Other Current Issues 2013," Practising Law Institute, February 2013
- "Be Careful Who You 'Like': Legal and Ethical Implications of Social Media Use in the Workplace and Employment and Labor Litigation," Seton Hall University School of Law Circuit Review Symposium, February 2013
- "To Click or Not to Click: That is the Ethical Question?" New York Chapter of the National Academy of Elder Law Attorneys (NAELA), January 2013
- "Ethical Gaps, Mishaps and Traps in Real Estate Practice," Practising Law Institute, November 2012
- "High Tech, High Risk, High Stakes: Key Strategies to Protect You & Your Client," Citrin Cooperman Cyberliability and Ethics Seminar, October 2012
- "How to Balance E-Discovery Requirements and Cloud Computing Challenges with Ethical Compliance," New York County Lawyers' Association, October 2012
- "Ethics Challenges For Law Firms," Kern Augustine Conroy & Schoppmann, P.C. Attorney Conference, June 2012
- "Hi-Tech Ethics Lowdown for Downtown Lawyers," Downtown Lawyers Organization, May 2012
- "Ethics in Elder Law," Practising Law Institute's (PLI) 24th Annual Elder Law Institute, April 2012
- "Ethics for Inhouse Lawyers: Emerging Issues, Evolving Duties," Barclays Capital, April 2012
- "Dealing with Ethical Issues Facing Intellectual Property Practitioners," New York County Lawyers' Association, February 2012
- "Hot Topics in Legal Ethics: Current Issues, New Risks and Recent Developments," Esquire Bank, Ultimate Abstract of New York and Citrin Cooperman, December 2011
- "Ethical Considerations for the Real Estate Leasing Attorney," Analysis of Case Study by Devika Kewalramani, Practising Law Institute, November 2009, November 2010 and November 2011
- "Ethics for Real Estate Attorneys," Analysis of Case Study by Devika Kewalramani, Practising Law Institute 10th, 11th and 12th Annual Commercial Real Estate Institute, December 2008 (New York), November 2008 (Chicago), December 2009 (New York), December 2010 (New York) and November 2011 (New York)
- "In-House Counsel Ethics: Risks, Trends And Real-World Challenges," Moses Singer CLE Program to Starwood Hotels & Resorts Worldwide, Inc., September 2011
- "Cloud Computing: Balancing Privacy and Data Mobility," Moses Singer CLE Webinar to FriendFinder Networks Inc., August 2011
- "Latest Trends and Insights Impacting Law Practice in a Turnaround Economy," New York City Bar Association, July 2011
- "Ethics, Technology and Solos," Business Lawyers Group, July 2011

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- 2nd Annual USA India Business Summit, participant, June 2011
- "Cloud Computing: Thunderstorms or Silver Lining?," Co-Sponsored by New York County Lawyers' Association and its Cyberspace Committee, June 2011
- "From Outsourced to Cloudsourced: The Next Level of Risks," Moses Singer's In-House Counsel CLE Program, June 2011
- "Ethics for New Lawyers," Lawline.com CLE Seminar, April 2011
- "Women's Leadership That Inspires Global Change," The 55th Annual United Nations Conference on Women's Empowerment, March 2011
- "Ethical Issues in Client Representation Agreements," WebCredenza National Teleseminar, February 2011
- "Fees, Retainer Agreements, and Letters of Engagement," New York State Bar Association, November and December 2010
- "Attorney Advertising via Blogs, Websites and Social Networks: Ethical Risks," Strafford National CLE Webinar, November 2010
- "Everyday Ethics Issues Facing Your Law Practice," Moses Singer Women's Initiative Program, November 2010
- "Best Practices for Protecting Your Law Practice from Ethical Violations," Esquire Bank and Ultimate Abstract of New York, October 2010
- "Navigating the Attorney Advertising Maze: Recent Rules, Cases and Ethics Opinions," New York County Lawyers' Association, September 2010
- "Minimize Risks, Manage Challenges and Avert Ethical Pitfalls," Esquire Bank and Ultimate Abstract of New York, July 2010
- "Indian Businesses Go Green," host, Citi-Anchin Indian Initiative, June 2010
- "Ethics of Going Green At Home And Abroad," The Institute of International Social Development and Forum 21, April 2010
- "The New NY Ethics Rules: An Inside View for In-House Counsel," Legal Department of Deutsche Bank AG, April 2010; and JPMorgan Chase & Co., February 2010; and Moses Singer's In-House Counsel CLE Program, May 2010
- "Getting Engaged and Serving Your Client Under New York's Engagement Letter Rules," the Judicial Title Insurance Agency LLC, March 2010
- "Essentials of Setting Up A New Law Practice: Formation Issues, Ethics And Other Key Considerations," Chair, New York City Bar Association, Small Law Firm Center, March 2010
- "Ethics in Commercial Mortgage Practice: Analysis of Case Study by Devika Kewalramani," Practising Law Institute, March 2008 (Chicago), February (2008) (New York) and March 2010 (New York)

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- "Attention In-House Counsel: New York's New Ethics Rules Apply To You," Fidelity National Financial, Inc., December 2009
- "What Every Lawyer Needs to Know About New York's Engagement Letter Rules," Hudson Valley Bank and Lawline.com CLE Seminar, November 2009; Rivkin Radler LLP, January 2009; Asian American Bar Association of New York, December 2008; New York City Bar Associaton, May 2007; and Moses Singer In-House Counsel CLE Program, March 2006
- "The Rules, They Are A-Changin'," The Copyright Society of the U.S.A., September 2009
- "How New York's New Ethics Rules Impact Subordinate Lawyers," New York County Lawyers' Association, September 2009
- "New York's New Ethics Rules: What's New, What's Not, and What Changed?" Quinn Emanuel, October 2009, Citibank/Corporate Solutions New York, August 2009 and Moses Singer's Law Firm Managing Partners Forum, July 2009
- "Highlights of New York's New Rules of Professional Conduct," Advantage Title, 2009 CLE Seminar Series, June 2009
- "New York Adopts New Rules of Professional Conduct: Are You Ready?" Moses Singer's In-House Counsel CLE Program, March 2009; and Lawline.com, May 2009
- "Seven Risk Management Tips for Law Firms," Lawline.com, May 2009
- "Law Firms and In-House Counsel," MSI Global Alliance North America and Latin America Local Members' Meeting, January 2009 (Miami)
- "Legal Ethics: Solutions to the Most Common Challenges," National Business Institute, September 2008
- "Law Firm Blogs: Legal and Ethical Concerns," Moses Singer's In-House Counsel CLE Program, November 2007
- "Ethical Duties of Supervisory and Subordinate Lawyers," Moses Singer's In-House Counsel CLE Program, October 2008; New York City Bar Association, October 2007
- "Conflicts of Interest, Engagement Letters and Attorney Advertising Rules," National Business Institute, September 2007
- "What You Need to Know About Legal Opinions," Moses Singer's In-House Counsel CLE Program, January 2007
- "What Every Lawyer Needs to Know About Conflicts of Interest," New York City Bar Association, June 2006
- "Law Firm Conflicts Management," Moses Singer's In-House Counsel CLE Program, December 2004

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#### **Publications**

- "LexisNexis® Practical Guidance for Corporate Counsel: Ethics For In-House Counsel", Author (2012-current)
  - Attorney-Client Privilege: This practice note provides guidance on the attorney-client privilege. It discusses the difference between the attorney-client privilege and the duty of confidentiality; when the client is an entity; the nature, scope, and duration of attorneyclient privilege; the reasonable belief test; joint representation; jurisdictional considerations; waivers and exceptions; and the challenges of new technologies, among other topics.
  - Who Is In-House Counsel's Client?: This practice note provides guidance on the identification of the in-house attorney's client. It discusses the organization as the client, avoiding conflicts of interest and loss of privilege, Upjohn warnings, and dealings with constituents.
  - In-House Counsel's Role as Whistleblower: This practice note discusses in-house counsel's role as whistleblower under the Sarbanes-Oxley Act (SOX), American Bar Association (ABA) Model Rules of Professional Conduct, and state ethics rules.
  - Conflicts of Interest for In-House Counsel: This practice note addresses conflicts of interest that may implicate a lawyer's duties of loyalty and confidentiality and impair their independent professional judgment. This is emerging as an area of increasing complexity for in-house counsel and legal departments. There is little guidance available in detecting and solving these conflicts as they surface in the public eye in the form of breach of fiduciary duty lawsuits against in-house counsel and their corporate employers and legal malpractice claims, seeking remedies such as civil damages, disqualification, fee forfeiture, and professional discipline.
  - Unauthorized Practice of Law: This practice note on the unauthorized practice of law includes discussion of state regulations, multi-jurisdictional practice, ABA Model Rules on Unauthorized Practice, special registration rules and other options for admission. It also provides guidance on out-of-state in-house counsel working remotely, licensing and attorney-client privilege, and unauthorized practice from the use of non-lawyers.
  - "Practical Essentials For Professional Success," Author of lesson in book, 50 Lessons for Women Lawyers From Women Lawyers, written by Nora Riva Bergman, published by Berroco Canyon Publishing (2019)
  - "The New York Rules of Professional Conduct," Oxford University Press/New York County Lawyers Association, Rules Co-Editor and Contributor of Three Chapters: "Rule 1.7 –

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Conflicts of Interest: Current Clients;" "Rule 1.8 – Current Clients: Specific Conflicts of Interest Rules;" and "Rule 1.9 – Duties to Former Clients" (2010-2012). Practices / Industries

FisherBroyles UK LLP ("FisherBroyles UK") is a limited liability partnership registered in England and Wales with registered number OC426505, and which practices from Tower 42 – Fifth Floor, 25 Old Broad Street, London EC2N 1HN UK. A list of members of FisherBroyles UK is available for inspection at that address. FisherBroyles UK is authorised and regulated by the Solicitors Regulation Authority with registered number 658162. FisherBroyles UK is connected with its affiliate in the US, FisherBroyles LLP, although they are two separate legal entities. The word "partner" denotes a member of FisherBroyles UK or an employee or consultant with equivalent standing and qualifications.